

BRANCH/AGENCY CODE SHARE ACCOUNT No.

ACCOUNT TYPE

CASH ISA

EARL SHILTON BUILDING SOCIETY22, The Hollow, Earl Shilton, Leicester LE9 7NB
Tel: 01455 844422 www.esbs.co.uk
Authorised and regulated by the Financial Services Authority.**TRANSFER APPLICATION FORM (CASH ISA)****NAME OF THE SHAREHOLDER**

WHO MAY ATTEND MEETINGS & EXERCISE MEMBERSHIP RIGHTS

Title Mr Mrs Miss Ms Other Nationality Home Tel. Number Surname Work Tel. Number Full First Names Email Date of Birth

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Occupation National Ins. No.

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If existing a/c holder, please tick box Permanent Residential Address

Postcode **INTEREST INSTRUCTIONS - Annually**Please i) Add to this Account ii) Transfer to ESBS Account Number
iii) Pay to: Bank Branch Sort Code
Account No Account Name **Declaration****I apply** to transfer my existing cash ISA A/c no.
from (old manager) to (new manager) Earl Shilton Building Society
to be invested in a *90 day notice cash ISA *Instant Access Cash ISA *please tick appropriate box
(and subscribe to this cash ISA for the tax year 20__ / 20__ and each subsequent year until further notice.)
Delete the words in brackets if you will not be subscribing to this ISA.**"I declare that**all subscriptions made, and to be made, belong to me; (delete if you will not be subscribing to this ISA)
I am 16 years of age or over;
I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash ISA and a Stocks and Shares ISA in the same tax year;
I have not subscribed and will not subscribe more than the cash ISA subscription limit to one cash ISA;
I have not subscribed and will not subscribe to another cash ISA in the same tax year that I subscribe to this cash ISA; (delete if you will not be subscribing to this ISA) and I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Earl Shilton Building Society if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties." (delete if you will not be subscribing to this ISA)**I authorise Earl Shilton Building Society**to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
to make on my behalf any claims to relief from tax in respect of ISA investments.**I agree to the ISA Terms and Conditions****I declare that this application form has been completed to the best of my knowledge and belief.**I have received and read the Terms and Conditions of being an investor with the Earl Shilton Building Society and Agreement to assign windfalls to charity and agree to invest the sum of: £ in a CASH ISA Account in accordance with such Terms and Conditions and Agreement.

Opening this share account confers membership of the Earl Shilton Building Society and I acknowledge that my membership rights are included in the Society's Rules.

I consent to you making searches at a credit reference agency for the purpose of money laundering and fraud prevention. I understand that a record of the search will be held, whether or not my application is processed.

I consent that the information held about me may be passed to the Financial Services Authority and the Financial Ombudsman Service for compliance purposes.

It is important that you read and understand the section overleaf entitled 'YOUR INFORMATION'. By signing this application you agree that we can use your information in this way.

From time to time, the Society may wish to inform you of other services or products. By Post By Phone By Email Please indicate by entering 'X' in the boxes if you **DO NOT** wish to receive details.**I APPLY TO TRANSFER A CASH ISA and I have read the Declarations and Agreement to assign windfalls to Charity.**Signature Date

AGREEMENT TO ASSIGN WINDFALLS TO CHARITY

Words printed in *italics* in this section of the application form are explained in the notes at the end.

1. Paragraphs 2 to 6, below, will apply to me unless I am an *exempt customer* at the time when the account is *opened*.
2. I agree with the Society that, if the right to any *windfall benefits* is granted to me after the *account* is *opened*, I will assign those *windfall benefits* to the *selected charity* unless the period between my *account* being *opened* and the *conversion announcement date* is more than 5 years (or, if applicable the *shorter period*).
3. I authorise the Society and the *successor* to pass any *windfall benefits* direct to the *selected charity* (or to any other charity which the *selected charity* may nominate to receive those benefits), without notice to me.
4. I understand that:
 - the Society has promised to transfer to the *selected charity* the benefit of the agreement which I have given under paragraph 2, above;
 - neither the Society nor the *selected charity* will release me from that agreement; and
 - any power of the Society to change the terms of its contract with me will not apply to any of the terms set out in this section of the application form.
5. I authorise the Society to give the *selected charity* any information about me or any account which I have with the Society (now or in the future) - but only if the *selected charity* reasonably needs it regarding the agreement I have given under paragraph 2, above.
6. I understand that the Society will require anyone who *opens* a share account (who is not then an *exempt customer*) to agree to assign to charity the right to any *windfall benefits* to which that person may become entitled. The terms of the agreement will be decided by the Society and may be different from the terms in paragraphs 1 to 5, above. This paragraph will no longer apply if the Society publishes a notice in the press of a decision by the Society that it will no longer require new shareholding members to enter into such agreements.

Notes - These notes apply to paragraphs 1 to 6, above:

- (a) The “*account*” is the share account which you are applying to *open* by completing this form.
- (b) The “*conversion announcement date*” means the date on which the Society makes a public announcement of a proposal to transfer its business to a *successor*.
- (c) The “*current terms*” means terms which are the same as, or similar to, the terms set out in paragraphs 2 to 5 above.
- (d) You are an “*exempt customer*” when you *open the account* if:
 - you have held shares in the Society on, and at all times since, 31 October 1999; or
 - you have already entered into an agreement with the Society binding you to the *current terms*; or
 - you belong to one of the groups of other people who, in the Society’s opinion, do not need to be asked to agree to the *current terms*. A list of these groups is available from the Society at any time. The Society may alter the number and composition of the groups from time to time, but no alteration will apply retrospectively.
- (e) A person “*opens*” an account either by opening a new account or by having an existing account transferred into his or her name (whether as sole or joint holder).
- (f) The “*selected charity*” means the Charities Aid Foundation or, if it is at any time no longer a registered charity, any other charity or charities selected by it to receive assignments of *windfall benefits*.
- (g) A “*shorter period*” is applicable if, when the Society *opens* your *account*, you belong to one of the groups of people who, in the Society’s opinion, do not need to be asked to agree the usual 5 year period. A list of these groups, stating the periods applicable to them, is available from the Society at any time. The Society may alter the number and composition of the groups and the periods applicable to them from time to time, but no alteration will apply retrospectively.
- (h) The “*successor*” is any company or other corporate body to which the Society transfers its business under Section 97 of the Building Societies Act 1986 (or under any provision which amends or replaces it).
- (i) A “*windfall benefit*” is a benefit which a person has the right to receive as a shareholding member of the Society, under the terms of any future transfer of the Society’s business to a *successor* (i.e., on a conversion or take-over), other than:-
 - the replacement of savings in a share account with the Society with savings in a deposit account with the *successor*, or
 - any benefit which the Society has decided may be kept by a person who has a number of accounts and was an *exempt customer* when *opening* one or more (but not all) of them. A list setting out the conditions which determine whether, and to what extent, such a person may keep a benefit is available from the Society at any time. These conditions may be altered by the Society from time to time, but no alteration will apply retrospectively.
- (j) Where more than one of you is signing this form, paragraph 1 and (if applicable) paragraphs 2 to 6, above, apply to each of you separately.
- (k) If the Society no longer exists following a merger with another building society, paragraphs 2 to 6, above, will still apply between you and the other society.

SHARE ACCOUNT No.

YOUR INFORMATION



DATA PROTECTION - The information we hold about you is confidential and may be held on paper and computer files. The information will be used to process and maintain the account or service that you have requested. The information will be held for six years after your account has been closed. The information will only be disclosed at your request or with your consent; to investigate or prevent fraud; if the law permits it; or if it is in the public’s interest. You have the right under the Data Protection Act 1998 to access the information that we hold about you by applying in writing to our Head Office, 22, The Hollow, Earl Shilton, Leicester LE9 7NB. A fee will be charged for this.

FOR OFFICE USE ONLY

IDENTIFICATION

DATE ACCOUNT OPENED

Investor	Personal Verification	Address Verification	Postal Check	Cashier’s Signature(s)	Date
I					

Information Pack Issued

Customer Number

Passbook Serial No.